

Case Docket No. ASMINT.057AUS

Date: December 19, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Granneman et al.

Appl. No.

10/700,298

Filed

October 31, 2003

For

METHOD FOR THE HEAT

TREATMENT OF

SUBSTRATES

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 72, 2003

(Date)

Adeel S. Akhtar, Reg. No. 41,394

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing forty-nine (49) references of which 11 are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Adeel S. Akhtar

Registration No. 41,394

Attorney of Record

Customer No. 20,995

(415) 954-4114

DEC 2 4 2003 55

INFORMATION DISCLOSURE STATEMENT

Applicant

Granneman et al.

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10/700,298

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METHOD FOR THE HEAT TREATMENT

OF SUBSTRATES

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 49 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

By:

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

December 22, 2003

Adeel S. Akhtar

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Customer No. 20,995

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

ATTY. DOCKET NO ASMINT,057AUS DEC 2 4 2003 55

APPLICATION NO. 10/700,298

APPLICANT
Granneman et al.

FILING DATE October 31, 2003 GROUP Unknown

U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** FILING DATE INITIAL (IF APPROPRIATE) 1. 3,947,236 3/30/76 Lasch, Jr. 2. 4,860,687 8/29/89 Frijlink 3. 4,495,024 01/22/85 Bok 4. 4,560,590 12/24/85 Bok 5. 4,575,408 03/11/86 Bok 6. 4,975,561 12/04/90 Robinson, et al. 7. 5,178,639 01/1993 Nishi 8. 5,180,273 01/1993 Sakaya et al. 9. 5,314,848 05/24/94 Yasui, et al. 10. 07/26/94 5,332,442 Kubodera, et al. 11. 5,407,449 04/18/95 Zinger 12. 5,411,076 05/95 Matsunaga et al. 13. 5,414,244 A1 05/95 Imahashi 14 5,430,271 07/04/95 Orgami, et al. 15. 5,431,700 07/95 Sloan 16. 5,646,313 11/1995 Ohsawa 17. 5,650,082 07/22/97 Anderson 18. 08/04/98 5,790,750 Anderson 19. 5,855,681 01/1999 Maydan et al. 20. 5,997,588 12/7/99 Goodwin et al. 21 6,001,175 12/14/99 Maruyama et al. 22 6,018,616 01/25/00 Schaper 23 6,064,799 05/16/00 Anderson, et al. 24 6,072,163 A1 06/00 Armstrong et al. 25. 6,080,969 06/27/00 Goto, et al. 26 6,097,005 08/01/00 Akimoto 27 6,099,056 8/8/00 Siniaguine et al.

EXAMINER

DATE CONSIDERED

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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APPLICATION NO. 10/700,298

APPLICANT Granneman et al.

FILING DATE October 31, 2003 GROUP Unknown

	U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
	28.	6,111,225	08/29/00	Ohkase, et al.				
- "	29.	6,183,565 B1	02/06/01	Granneman, et al.				
	30.	6,207,936 B1	03/27/01	de Waard, et al.				
· · · · · ·	31.	6,215,106	04/01	Boas et al.				
	32.	6,234,788 B1	05/01	Lee				
-,	33.	6,275,744 B1	08/01	Yoshida				
	34.	6,329,304 B1	12/11/01	Kuznetsov, et al.				
	35.	2003/0092231 A1	5/15/03	Granneman et al.				
	36.	2003/0209200	11/13/03	Kuznetsov et al.				
	37.	2003/0209327 A1	11/13/03	Kuznetsov, et al.			·	
	38.	2003/0027094 A1	2/6/03	Storm et al.				

	FOREIGN PATENT DOCUMENTS							
EXAMINE R		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION .	
INITIAL							YES	NO
	39.	JP 02034915A	2/5/90	Japan			Abstract	
	40.	JP 04078130A	3/12/92	Japan			Abstract	
	41.	JP 61294812A	12/25/86	Japan			Abstract	
	42.	JP 62021237A	1/29/87	Japan			Abstract	
	43.	JP 08236533	09/13/96	Japan			Abstract	
	44.	JP 10321505	12/04/98	Japan			Abstract	
	45.	WO 00/42638	07/20/00	PCT			N/A	
	46.	WO 00/68977	11/16/00	РСТ			N/A	
	47.	WO 98/01890	1/15/98	РСТ			N/A	
	48.	WO 90/13687	11/15/90	РСТ			N/A	

EXAMINER

DATE CONSIDERED

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. ASMINT.057AUS	DEC 2 4 2003 65	APPLICATION NO. 10/700,298	
	DISCLOSURE STATEMENT Y APPLICANT	APPLICANT Granneman et al.	T. T. OF MATH.		
(USE SEVERA	L SHEETS IF NECESSARY)	FILING DATE October 31, 2003		GROUP Unknown	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	49. Porter, et al., Fast-ramp rapid vertical processor for 300-mm Si wafer processing. SPIE vol 3507, September 1998, p 42-53.

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